

İstanbul, 17 Mayıs 2010

2010/560

GENEL MEKTUP

No:630

Konu: “Insider Trading ve Market Manipulation Cases in Securities Regulation” Konulu Eğitim Semineri

SAYIN ÜYEMİZ,

Sermaye Piyasası Kurulu (SPK) ve Uluslararası Menkul Kıymetler Komisyonu (IOSCO) tarafından, 27-28 Mayıs 2010 tarihlerinde İstanbul Ceylan Otelde “Insider Trading ve Market Manipulation Cases in Securities Regulation” konulu bir eğitim semineri düzenlenecektir.

İngilizce ve ücretsiz olarak düzenlenecek seminere katılım sınırlı olup katılımcıların belirlenmesinde her üyemizden bir kişi olmak üzere başvuru önceliği dikkate alınacaktır.

27-28 Mayıs 2010 tarihlerinde düzenlenecek olan ve ekte detayları yer alan seminere katılacakların en geç 24 Mayıs 2010 Pazartesi akşamına kadar aşağıda belirtilen elektronik posta adresine bildirilmesi hususunu bilgilerinize sunarım.

Saygılarımla,

İlkay ARIKAN
GENEL SEKRETER

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Ek: Seminer Programı

Day 1 27 MAY 2010		
08.15-9.15	REGISTRATION	
PLENARY SESSION		
9.15-09.45	Welcoming Remarks <ul style="list-style-type: none"> • Introduction • Course Overview and Objectives 	Mr. Vedat Akgiray, Chairman CMB Turkey & Mr. Gary Tidwell Vice President, FINRA, U.S.A. Consultant, IOSCO Education and Training Strategy
09.45-10.45	IOSCO: Recent Developments and Regulatory Challenges Use of the MMOU and Investigative Techniques	Ms. Isabel Pastor IOSCO Senior Advisor
PLENARY SESSION		
11.00-11.30	Insider Trading & Market Manipulation Rules & regulations , experiences and new market oversight perspectives in Turkey	Mr. Ethem Sancak Head of Market Oversight and Enforcement Department & Mr. Hasan Seyman Expert CMB Turkey
11.30-12.00	Introduction of Market Manipulation Capstone Case	Mr. Steven Glynn, Director of Enforcement, DFSA, Dubai
13.00-14.00	Evidence of the Insider Trading Hypothetical Applications	Mr. Gary Tidwell Consultant, IOSCO Education and Training Strategy Vice President, FINRA, U.S.A.
14.00-15.15	Investigation and Litigation of Insider Trading Cases <ul style="list-style-type: none"> • Insider Trading: Case Study 1 	Ms. Kiran Kaur Gill Head of Investigations Department, SC, Malaysia
15.15-15.30		
Coffee Break		
15.30-16.30	Market Manipulation <ul style="list-style-type: none"> • Case Study 1 	Mr. Răzvan Alexandru Popp Regulated Markets Specialist, RNSC, Romania
16.30-17.30.	Specific Types of Market Manipulation <ul style="list-style-type: none"> • Case Study 2 	Mr. Stefan Haupt, Senior Advisor, BaFin, Germany
17.30-18.15	Insider Trading Case Study and Practical Exercise: Investigation and Taking of Testimony	Mr. Jae Ryong Jeong Senior Examiner, Capital Markets Investigation Department, FSS Korea

Day 2 28 MAY 2010		
BREAK-OUT SESSION		
09.00-10.00	Break-out Sessions for Market Manipulation Case Study	Mr. Steven Glynn, Director of Enforcement DFSA, Dubai
BREAK-OUT SESSION		
10.00-11.00	Break-out Sessions for Market Manipulation Case Study	Mr. Steven Glynn, Director of Enforcement DFSA, Dubai
11.00-11.30		Coffee break
PLENARY SESSION		
11.30-12.30	<ul style="list-style-type: none"> • Insider Trading Case Study 2 and Practical Exercise: Investigation and Taking of Testimony 	Mr. Fabrice Benoit Manager Investigations – Market Manipulation and Insider Trading AMF, Québec
13.30-14.30	Customer Remedies, Including Alternative Dispute Resolution	Mr. Gary Tidwell Vice President, FINRA, U.S.A. Consultant, IOSCO Education and Training Strategy
14.30-14.45	Coffee Break	
14.45-15.45	Market Manipulation	Mr. Steven Glynn Director of Enforcement, DFSA, Dubai
PLENARY SESSION		
15.45-16.45	Continuation of Capstone Market Manipulation Case Study	Mr. Steven Glynn Director of Enforcement, DFSA, Dubai
16.45-17.15	Customer Protection	Mr. Gary Tidwell Consultant, IOSCO Education and Training Strategy Vice President, FINRA, U.S.A.
17.15-18.15	Course evaluation and feedback Concluding Remarks	CMB Turkey